

Helmholz GmbH & Co. KG Declaration on the Use of Conflict Minerals in Products as Regulated by Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank Act)

Dear Sir or Madam,

The Dodd-Frank Act refers particularly to tin, tantalum, tungsten, gold, and their derivatives when mined in conflict regions such as the Democratic Republic of Congo and its neighboring countries. One of the act's goals is to restrict or stop the trade of these substances coming from said conflict regions.

Helmholz GmbH & Co. takes its social responsibility – whether it be related to the environment, safety, security, health, or human rights – very seriously, and is aware of the fact that its actions in the course of business have an impact on both society and the environment. Accordingly, and in order to ensure that our global resources are used in a peaceful, fair, and sustainable manner, Helmholz GmbH & Co. KG hereby guarantees and confirms the following:

Out of the aforementioned materials, Helmholz GmbH & Co. KG uses tin, tantalum, tungsten, and gold in its products. According to the information provided by our suppliers, these raw materials are not being sourced from the African region.

To access our up-to-date EICC report for the 2017/2018 business year, please visit our website: www.helmholz.de

We will be more than happy to answer any questions you may have and look forward to continuing our excellent relationship.

Yours sincerely,
Helmholz GmbH & Co. KG

Ralph Horstmann
Quality Manager



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Conflict Minerals Reporting Template (CMRT)

English

Revision 5.11
 April 27, 2018

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	Helmholz GmbH & Co. KG
Declaration Scope or Class (*):	A. Company
Description of Scope:	
Company Unique ID:	26898
Company Unique ID Authority:	iPoint Conflict Minerals Platform
Address:	Hannberger Weg 2, 91091 Großenseebach Bayern, Germany
Contact Name (*):	Florian Heyen
Email - Contact (*):	florian.heyen@helmholz.de
Phone - Contact (*):	+49 9135/7380-0
Authorizer (*):	Ralph Horstmann
Title - Authorizer:	Qualitätsmanager
Email - Authorizer (*):	ralph.horstmann@helmholz.de
Phone - Authorizer (*):	+49 9135/7380-0
Effective Date (*):	26-Jun-2018

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

2) Does any 3TG remain in the product(s)? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)	Answer	Comments
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	No	
Tungsten (*)	No	

4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)	Answer	Comments
Tantalum (*)	Unknown	
Tin (*)	Unknown	
Gold (*)	Unknown	
Tungsten (*)	Unknown	

5) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)	Answer	Comments
Tantalum (*)	Greater than 50%	
Tin (*)	Greater than 50%	
Gold (*)	Greater than 50%	
Tungsten (*)	Greater than 50%	

6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	



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7) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a conflict minerals sourcing policy? (*)	No	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*)	No	
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	No	
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	No	
F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, in conformance with IPC1755 (e.g., CMRT)	
G. Do you review due diligence information received from your suppliers against your company's expectations? (*)	No	
H. Does your review process include corrective action management? (*)	Yes	
I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*)	No	

Smelter Identification Number / Input Column	Metal (*)	Smelter Look-up (*)	Smelter Name (1)	Smelter Country (*)	Smelter Identification Number	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province	Smelter Contact Name	Smelter Contact Email	Proposed next steps	Name of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	Location (Country) of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	Does 100% of the smelter's feedstock originate from recycled or scrap sources?	Comments
J3P9077	Gold	Yokohama Metal Co., Ltd.	Yokohama Metal Co., Ltd.	JAPAN	J3P9077	CFSE										
CD000129	Gold	Yokohama Metal Co., Ltd.	Yokohama Metal Co., Ltd.	JAPAN	CD000129	CFSE										
ICHN065	Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corp	Zhongyuan Gold Smelter of Zhongjin Gold Corp	CHINA	ICHN065	CFSE										
CD000224	Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corp	Zhongyuan Gold Smelter of Zhongjin Gold Corp	CHINA	CD000224	CFSE										
BR0A021	Tantalum	LSM Brasil S.A.	LSM Brasil S.A.	BRAZIL	BR0A021	CFSE										
CD000211	Tantalum	Changsha South Tantalum Niobium Co., Ltd.	Changsha South Tantalum Niobium Co., Ltd.	CHINA	CD000211	CFSE										
ICHN019	Tantalum	Conghua Tantalum and Niobium Smeltery	Conghua Tantalum and Niobium Smeltery	CHINA	ICHN019	CFSE										
CD000291	Tantalum	Conghua Tantalum and Niobium Smeltery	Conghua Tantalum and Niobium Smeltery	CHINA	CD000291	CFSE										
CD000410	Tantalum	Duolushan	Duolushan	CHINA	CD000410	CFSE										
BUSA002	Tantalum	Exotech Inc.	Exotech Inc.	UNITED STATES	BUSA002	CFSE										
ICHN003	Tantalum	FBX Electro-Materials Ltd.	FBX Electro-Materials Ltd.	CHINA	ICHN003	CFSE										
CD000460	Tantalum	FBX Electro-Materials Ltd.	FBX Electro-Materials Ltd.	CHINA	CD000460	CFSE										
BUSA005	Tantalum	Global Advanced Metals Boyertown	Global Advanced Metals Boyertown	UNITED STATES	BUSA005	CFSE										
CD000616	Tantalum	Guangdong Zhiyuan New Material Co., Ltd.	Guangdong Zhiyuan New Material Co., Ltd.	CHINA	CD000616	CFSE										
CD002492	Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA	CD002492	CFSE										
CD001634	Tantalum	Bangqi Dinghai Tantalum & Niobium Co., Ltd.	Bangqi Dinghai Tantalum & Niobium Co., Ltd.	CHINA	CD001634	CFSE										
ICHN020	Tantalum	Jiuliang JinXin Nonferrous Metals Co., Ltd.	Jiuliang JinXin Nonferrous Metals Co., Ltd.	CHINA	ICHN020	CFSE										
CD000914	Tantalum	Jiuliang JinXin Nonferrous Metals Co., Ltd.	Jiuliang JinXin Nonferrous Metals Co., Ltd.	CHINA	CD000914	CFSE										
ICHN007	Tantalum	Jiuliang Tanbre Co., Ltd.	Jiuliang Tanbre Co., Ltd.	CHINA	ICHN007	CFSE										
CD000917	Tantalum	Jiuliang Tanbre Co., Ltd.	Jiuliang Tanbre Co., Ltd.	CHINA	CD000917	CFSE										
BUSA010	Tantalum	Kemet Blue Powder	Kemet Blue Powder	UNITED STATES	BUSA010	CFSE										
CD002568	Tantalum	KEMET Blue Powder	KEMET Blue Powder	UNITED STATES	CD002568	CFSE										
ICHN026	Tantalum	King-Tan Tantalum Industry Ltd.	King-Tan Tantalum Industry Ltd.	CHINA	ICHN026	CFSE										
CD000973	Tantalum	King-Tan Tantalum Industry Ltd.	King-Tan Tantalum Industry Ltd.	CHINA	CD000973	CFSE										
CD001076	Tantalum	LSM Brasil S.A.	LSM Brasil S.A.	BRAZIL	CD001076	CFSE										
CD001163	Tantalum	Metallurgical Products India Pvt., Ltd.	Metallurgical Products India Pvt., Ltd.	INDIA	CD001163	CFSE										
J3P9008	Tantalum	Mitsui Mining & Smelting	Mitsui Mining & Smelting	JAPAN	J3P9008	CFSE										
CD001192	Tantalum	Mitsui Mining & Smelting	Mitsui Mining & Smelting	JAPAN	CD001192	CFSE										
BEST025	Tantalum	Molycorp Silmet A.S.	Molycorp Silmet A.S.	ESTONIA	BEST025	CFSE										
CD001200	Tantalum	Molycorp Silmet A.S.	Molycorp Silmet A.S.	ESTONIA	CD001200	CFSE										
ICHN009	Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	Ningxia Orient Tantalum Industry Co., Ltd.	CHINA	ICHN009	CFSE										
CD001277	Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	Ningxia Orient Tantalum Industry Co., Ltd.	CHINA	CD001277	CFSE										
BUSA022	Tantalum	QuantumClean	QuantumClean	UNITED STATES	BUSA022	CFSE										
CD001508	Tantalum	QuantumClean	QuantumClean	UNITED STATES	CD001508	CFSE										
ICHN017	Tantalum	RFH	RFH	CHINA	ICHN017	CFSE										
BRUS012	Tantalum	Solkamsk Metal Works	Solkamsk Metal Works	RUSSIAN FEDERATION	BRUS012	CFSE										
CD001769	Tantalum	Solkamsk Metal Works	Solkamsk Metal Works	RUSSIAN FEDERATION	CD001769	CFSE										
J3P9023	Tantalum	Taki Chemicals	Taki Chemicals	JAPAN	J3P9023	CFSE										
CD001869	Tantalum	Taki Chemicals	Taki Chemicals	JAPAN	CD001869	CFSE										
BUSA018	Tantalum	Telrex Metals	Telrex Metals	UNITED STATES	BUSA018	CFSE										
CD001891	Tantalum	Telrex Metals	Telrex Metals	UNITED STATES	CD001891	CFSE										
KAZ0214	Tantalum	Itiba	Itiba	KAZAKHSTAN	KAZ0214	CFSE										
CD001969	Tantalum	Itiba	Itiba	KAZAKHSTAN	CD001969	CFSE										
CD002307	Tantalum	Huimin Jin Yang Rare Metal Co., Ltd.	Huimin Jin Yang Rare Metal Co., Ltd.	CHINA	CD002307	CFSE										
CD000292	Tin	Alpha	Alpha	UNITED STATES	CD000292	CFSE					erhthone@cookson.com					
CD000244	Tin	China Rare Metal Material Co., Ltd.	China Rare Metal Material Co., Ltd.	CHINA	CD000244	CFSE										
ICHN050	Tin	CHMC (Guangxi) PGMA Co., Ltd.	CHMC (Guangxi) PGMA Co., Ltd.	CHINA	ICHN050	CFSE										
ZIDN056	Tin	CV Gita Pesona	CV Gita Pesona	INDONESIA	ZIDN056	CFSE										
ZIDN006	Tin	CV Nurganah	CV Nurganah	INDONESIA	ZIDN006	CFSE										
ZIDN008	Tin	CV Serumpun Sebalai	CV Serumpun Sebalai	INDONESIA	ZIDN008	CFSE										
CD000313	Tin	CV Serumpun Sebalai	CV Serumpun Sebalai	INDONESIA	CD000313	CFSE										
ZIDN007	Tin	CV United Smelting	CV United Smelting	INDONESIA	ZIDN007	CFSE										
ZIDN009	Tin	CV United Smelting	CV United Smelting	INDONESIA	ZIDN009	CFSE										
CD000315	Tin	CV United Smelting	CV United Smelting	INDONESIA	CD000315	CFSE										
BOL010	Tin	BM Vinto	BM Vinto	BOLIVIA	BOL010	CFSE										
CD000438	Tin	Empresa Nacional de Fundiciones (ENAF)	Empresa Nacional de Fundiciones (ENAF)	BOLIVIA	CD000438	CFSE										
ZPOL064	Tin	Fenix Metals	Fenix Metals	POLAND	ZPOL064	CFSE										
CD000468	Tin	Fenix Metals	Fenix Metals	POLAND	CD000468	CFSE										
CD000538	Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CHINA	CD000538	CFSE										
ZCHN011	Tin	Gejiu Zi-Li	Gejiu Zi-Li	CHINA	ZCHN011	CFSE										
ZCHN012	Tin	Gejiu Zi-Li	Gejiu Zi-Li	CHINA	ZCHN012	CFSE										
CD000555	Tin	Gejiu Zi-Li	Gejiu Zi-Li	CHINA	CD000555	CFSE										
CD000278	Tin	Guangxi Pinggu PGMA Co. Ltd.	Guangxi Pinggu PGMA Co. Ltd.	CHINA	CD000278	CFSE										
ZCHN052	Tin	Huichang Jinchunda Tin Co., Ltd.	Huichang Jinchunda Tin Co., Ltd.	CHINA	ZCHN052	CFSE										
CD000760	Tin	Huichang Jinchunda Tin Co., Ltd.	Huichang Jinchunda Tin Co., Ltd.	CHINA	CD000760	CFSE										
ZCHN014	Tin	Jiangxi Nanshan	Jiangxi Nanshan	CHINA	ZCHN014	CFSE										
CD000864	Tin	Jiangxi Nanshan	Jiangxi Nanshan	CHINA	CD000864	CFSE										
ZCHN053	Tin	Kai United Trade Limited Liability Company	Kai United Trade Limited Liability Company	CHINA	ZCHN053	CFSE										
CD000942	Tin	Kai United Trade Limited Liability Company	Kai United Trade Limited Liability Company	CHINA	CD000942	CFSE										
ZCHN015	Tin	Luizhou China Tin	Luizhou China Tin	CHINA	ZCHN015	CFSE										
CD001070	Tin	Luizhou China Tin	Luizhou China Tin	CHINA	CD001070	CFSE										
CD002468	Tin	Magnu's Minerais Metais e Ligas Ltda.	Magnu's Minerais Metais e Ligas Ltda.	BRAZIL	CD002468	CFSE										
ZMYS016	Tin	Malaysia Smelting Corporation (MSC)	Malaysia Smelting Corporation (MSC)	MALAYSIA	ZMYS016	CFSE										
CD001105	Tin	Malaysia Smelting Corporation (MSC)	Malaysia Smelting Corporation (MSC)	MALAYSIA	CD001105	CFSE	27 JALAN PANTAI, 131000 BUTTAMALAYSIA	MALAYSIA		Bahman Hydraulic	msc@msmel.com	-	on mines	MALAYSIA	no	

