

**Stellungnahme der Helmholz GmbH & Co. KG über die Verwendung von „Konfliktmineralien“ in den Produkten bezugnehmend auf den Abschnitt 1502 des „Dodd-Frank Wall Street Reform and Consumer Protection Act“ (Dodd-Frank-Act)**

Sehr geehrte Damen und Herren,

der Dodd-Frank-Act bezieht sich insbesondere auf Zinn, Tantal, Wolfram, Gold und deren Derivate, abgebaut in Konfliktgebieten, wie zum Beispiel der Demokratischen Republik Kongo und angrenzenden Ländern. Des Weiteren zielt er unter anderem darauf ab, den Handel mit diesen Substanzen, welche aus diesen Konfliktgebieten stammen, einzuschränken bzw. zu unterbinden.

Die Helmholz GmbH & Co. KG ist sich ihrer sozialen Verantwortung hinsichtlich der Umwelt, Sicherheit, Gesundheit und der Menschenrechte bewusst und versteht, dass ihr Verhalten im Geschäftsverkehr Einfluss auf die Gesellschaft und die Umwelt hat. Um eine friedliche, faire und nachhaltige Nutzung unserer weltweiten Ressourcen zu gewährleisten, sichert Helmholz GmbH & Co. KG folgendes zu und bestätigt:

Die Helmholz GmbH & Co. KG verwendet von den oben erwähnten Materialien Zinn, Tantal, Wolfram und Gold in ihren Produkten. Diese Rohmaterialien werden nach Angaben unserer Lieferanten nicht aus dem afrikanischen Raum bezogen.

Den aktuellen EICC Report für das Geschäftsjahr 2017/2018 finden Sie auf unserer Homepage: [www.helmholz.de](http://www.helmholz.de)

Ihren eventuellen Fragen stehen wir gerne zur Verfügung und freuen uns auf eine weiterhin gute Zusammenarbeit.

Mit freundlichen Grüßen  
Helmholz GmbH & Co. KG

Ralph Horstmann  
Quality Manager



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## Conflict Minerals Reporting Template (CMRT)

English

Revision 5.11  
 April 27, 2018

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (\*). Consult the instructions tab for guidance on how to answer each question.

### Company Information

Company Name (*):	Helmholz GmbH & Co. KG
Declaration Scope or Class (*):	A. Company
Description of Scope:	
Company Unique ID:	26898
Company Unique ID Authority:	iPoint Conflict Minerals Platform
Address:	Hannberger Weg 2, 91091 Großenseebach Bayern, Germany
Contact Name (*):	Florian Heyen
Email - Contact (*):	<a href="mailto:florian.heyen@helmholz.de">florian.heyen@helmholz.de</a>
Phone - Contact (*):	+49 9135/7380-0
Authorizer (*):	Ralph Horstmann
Title - Authorizer:	Qualitätsmanager
Email - Authorizer (*):	<a href="mailto:ralph.horstmann@helmholz.de">ralph.horstmann@helmholz.de</a>
Phone - Authorizer (*):	+49 9135/7380-0
Effective Date (*):	26-Jun-2018

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

2) Does any 3TG remain in the product(s)? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)	Answer	Comments
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	No	
Tungsten (*)	No	

4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)	Answer	Comments
Tantalum (*)	Unknown	
Tin (*)	Unknown	
Gold (*)	Unknown	
Tungsten (*)	Unknown	

5) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)	Answer	Comments
Tantalum (*)	Greater than 50%	
Tin (*)	Greater than 50%	
Gold (*)	Greater than 50%	
Tungsten (*)	Greater than 50%	

6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	



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7) Has all applicable smelter information received by your company been reported in this declaration? (\*)

	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

### Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a conflict minerals sourcing policy? (*)	No	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*)	No	
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	No	
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	No	
F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, in conformance with IPC1755 (e.g., CMRT)	
G. Do you review due diligence information received from your suppliers against your company's expectations? (*)	No	
H. Does your review process include corrective action management? (*)	Yes	
I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*)	No	





Smelter Identification Number / Import Column	Metal (*)	Smelter Look-up (*)	Smelter Name (1)	Smelter Country (*)	Smelter Identification Number	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province	Smelter Contact Name	Smelter Contact Email	Proposed next steps	Name of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	Location (Country) of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	Does 100% of the smelter's feedstock originate from recycled or scrap sources?	Comments
J3P9077	Gold	Yokohama Metal Co., Ltd.	Yokohama Metal Co., Ltd.	JAPAN	J3P9077	CFSE										
C0003129	Gold	Yokohama Metal Co., Ltd.	Yokohama Metal Co., Ltd.	JAPAN	C0003129	CFSE										
JCHN605	Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corp	Zhongyuan Gold Smelter of Zhongjin Gold Corp	CHINA	JCHN605	CFSE										
C0002224	Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corp	Zhongyuan Gold Smelter of Zhongjin Gold Corp	CHINA	C0002224	CFSE										
BRAA021	Tantalum	LSM Brasil S.A.	LSM Brasil S.A.	BRAZIL	BRAA021	CFSE										
C0000211	Tantalum	Changsha South Tantalum Niobium Co., Ltd.	Changsha South Tantalum Niobium Co., Ltd.	CHINA	C0000211	CFSE										
JCHN019	Tantalum	Conghua Tantalum and Niobium Smeltery	Conghua Tantalum and Niobium Smeltery	CHINA	JCHN019	CFSE										
C0000291	Tantalum	Conghua Tantalum and Niobium Smeltery	Conghua Tantalum and Niobium Smeltery	CHINA	C0000291	CFSE										
C0000410	Tantalum	Duolushan	Duolushan	CHINA	C0000410	CFSE										
BUSA002	Tantalum	Exotech Inc.	Exotech Inc.	UNITED STATES	BUSA002	CFSE										
JCHN003	Tantalum	FBX Electro-Materials Ltd.	FBX Electro-Materials Ltd.	CHINA	JCHN003	CFSE										
C0000460	Tantalum	FBX Electro-Materials Ltd.	FBX Electro-Materials Ltd.	CHINA	C0000460	CFSE										
BUSA005	Tantalum	Global Advanced Metals Boyertown	Global Advanced Metals Boyertown	UNITED STATES	BUSA005	CFSE										
C0000616	Tantalum	Guangdong Zhiyuan New Material Co., Ltd.	Guangdong Zhiyuan New Material Co., Ltd.	CHINA	C0000616	CFSE										
C0002492	Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA	C0002492	CFSE										
C0001634	Tantalum	Bangqi Dinghai Tantalum & Niobium Co., Ltd.	Bangqi Dinghai Tantalum & Niobium Co., Ltd.	CHINA	C0001634	CFSE										
JCHN020	Tantalum	Jiuliang JinXin Nonferrous Metals Co., Ltd.	Jiuliang JinXin Nonferrous Metals Co., Ltd.	CHINA	JCHN020	CFSE										
C0000914	Tantalum	Jiuliang JinXin Nonferrous Metals Co., Ltd.	Jiuliang JinXin Nonferrous Metals Co., Ltd.	CHINA	C0000914	CFSE										
JCHN007	Tantalum	Jiuliang Tanbre Co., Ltd.	Jiuliang Tanbre Co., Ltd.	CHINA	JCHN007	CFSE										
C0000917	Tantalum	Jiuliang Tanbre Co., Ltd.	Jiuliang Tanbre Co., Ltd.	CHINA	C0000917	CFSE										
BUSA010	Tantalum	Kemet Blue Powder	Kemet Blue Powder	UNITED STATES	BUSA010	CFSE										
C0003568	Tantalum	KEMET Blue Powder	KEMET Blue Powder	UNITED STATES	C0003568	CFSE										
JCHN026	Tantalum	King-Tan Tantalum Industry Ltd.	King-Tan Tantalum Industry Ltd.	CHINA	JCHN026	CFSE										
C0000973	Tantalum	King-Tan Tantalum Industry Ltd.	King-Tan Tantalum Industry Ltd.	CHINA	C0000973	CFSE										
C0001076	Tantalum	LSM Brasil S.A.	LSM Brasil S.A.	BRAZIL	C0001076	CFSE										
C0001163	Tantalum	Metallurgical Products India Pvt., Ltd.	Metallurgical Products India Pvt., Ltd.	INDIA	C0001163	CFSE										
J3P9008	Tantalum	Mitsui Mining & Smelting	Mitsui Mining & Smelting	JAPAN	J3P9008	CFSE										
C0001192	Tantalum	Mitsui Mining & Smelting	Mitsui Mining & Smelting	JAPAN	C0001192	CFSE										
BEST025	Tantalum	Molycorp Silmet A.S.	Molycorp Silmet A.S.	ESTONIA	BEST025	CFSE										
C0001200	Tantalum	Molycorp Silmet A.S.	Molycorp Silmet A.S.	ESTONIA	C0001200	CFSE										
JCHN009	Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	Ningxia Orient Tantalum Industry Co., Ltd.	CHINA	JCHN009	CFSE										
C0001277	Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	Ningxia Orient Tantalum Industry Co., Ltd.	CHINA	C0001277	CFSE										
BUSA022	Tantalum	QuantumClean	QuantumClean	UNITED STATES	BUSA022	CFSE										
C0001508	Tantalum	QuantumClean	QuantumClean	UNITED STATES	C0001508	CFSE										
JCHN017	Tantalum	RFH	RFH	CHINA	JCHN017	CFSE										
BRUS012	Tantalum	Solkamsk Metal Works	Solkamsk Metal Works	RUSSIAN FEDERATION	BRUS012	CFSE										
C0001769	Tantalum	Solkamsk Metal Works	Solkamsk Metal Works	RUSSIAN FEDERATION	C0001769	CFSE										
J3P9023	Tantalum	Taki Chemicals	Taki Chemicals	JAPAN	J3P9023	CFSE										
C0001869	Tantalum	Taki Chemicals	Taki Chemicals	JAPAN	C0001869	CFSE										
BUSA018	Tantalum	Telrex Metals	Telrex Metals	UNITED STATES	BUSA018	CFSE										
C0001891	Tantalum	Telrex Metals	Telrex Metals	UNITED STATES	C0001891	CFSE										
KAZ0214	Tantalum	Itiba	Itiba	KAZAKHSTAN	KAZ0214	CFSE										
C0001969	Tantalum	Itiba	Itiba	KAZAKHSTAN	C0001969	CFSE										
C0002307	Tantalum	Hulun Jin Yang Rare Metal Co., Ltd.	Hulun Jin Yang Rare Metal Co., Ltd.	CHINA	C0002307	CFSE										
C0000292	Tin	Alpha	Alpha	UNITED STATES	C0000292	CFSE					erhthone@cookson.com					
C0000244	Tin	China Rare Metal Material Co., Ltd.	China Rare Metal Material Co., Ltd.	CHINA	C0000244	CFSE										
JCHN050	Tin	CNMIC (Guangxi) PGMA Co., Ltd.	CNMIC (Guangxi) PGMA Co., Ltd.	CHINA	JCHN050	CFSE										
JIDN056	Tin	CV Gita Pesona	CV Gita Pesona	INDONESIA	JIDN056	CFSE										
JIDN006	Tin	CV Nurganah	CV Nurganah	INDONESIA	JIDN006	CFSE										
JIDN008	Tin	CV Serumpun Sebalai	CV Serumpun Sebalai	INDONESIA	JIDN008	CFSE										
C0000313	Tin	CV Serumpun Sebalai	CV Serumpun Sebalai	INDONESIA	C0000313	CFSE										
JIDN007	Tin	CV United Smelting	CV United Smelting	INDONESIA	JIDN007	CFSE										
JIDN009	Tin	CV United Smelting	CV United Smelting	INDONESIA	JIDN009	CFSE										
C0000315	Tin	CV United Smelting	CV United Smelting	INDONESIA	C0000315	CFSE										
BOL010	Tin	EM Vinto	EM Vinto	BOLIVIA	BOL010	CFSE										
C0000438	Tin	Empresa Nacional de Fundiciones (ENAF)	Empresa Nacional de Fundiciones (ENAF)	BOLIVIA	C0000438	CFSE										
JPOL064	Tin	Fenix Metals	Fenix Metals	POLAND	JPOL064	CFSE										
C0000468	Tin	Fenix Metals	Fenix Metals	POLAND	C0000468	CFSE										
C0000538	Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CHINA	C0000538	CFSE										
JCHN011	Tin	Gejiu Zi-Li	Gejiu Zi-Li	CHINA	JCHN011	CFSE										
JCHN012	Tin	Gejiu Zi-Li	Gejiu Zi-Li	CHINA	JCHN012	CFSE										
C0000555	Tin	Gejiu Zi-Li	Gejiu Zi-Li	CHINA	C0000555	CFSE										
C0000278	Tin	Guangxi Pinggui PGMA Co. Ltd.	Guangxi Pinggui PGMA Co. Ltd.	CHINA	C0000278	CFSE										
JCHN052	Tin	Huichang Jinchunda Tin Co., Ltd.	Huichang Jinchunda Tin Co., Ltd.	CHINA	JCHN052	CFSE										
C0000760	Tin	Huichang Jinchunda Tin Co., Ltd.	Huichang Jinchunda Tin Co., Ltd.	CHINA	C0000760	CFSE										
JCHN014	Tin	Jiangxi Nanshan	Jiangxi Nanshan	CHINA	JCHN014	CFSE										
C0000864	Tin	Jiangxi Nanshan	Jiangxi Nanshan	CHINA	C0000864	CFSE										
JCHN053	Tin	Kai United Trade Limited Liability Company	Kai United Trade Limited Liability Company	CHINA	JCHN053	CFSE										
C0000942	Tin	Kai United Trade Limited Liability Company	Kai United Trade Limited Liability Company	CHINA	C0000942	CFSE										
JCHN015	Tin	Luizhou China Tin	Luizhou China Tin	CHINA	JCHN015	CFSE										
C0001070	Tin	Luizhou China Tin	Luizhou China Tin	CHINA	C0001070	CFSE										
C0002468	Tin	Magnu's Mineraias Metais e Ligas Ltda.	Magnu's Mineraias Metais e Ligas Ltda.	BRAZIL	C0002468	CFSE										
JMYS016	Tin	Malaysia Smelting Corporation (MSC)	Malaysia Smelting Corporation (MSC)	MALAYSIA	JMYS016	CFSE										
C0001105	Tin	Malaysia Smelting Corporation (MSC)	Malaysia Smelting Corporation (MSC)	MALAYSIA	C0001105	CFSE	27 JALAN PANTAN, 33000 BUTTAMALAYSIA	MALAYSIA		Bahman Hydraulic	msc@msmel.com	-	on mines	MALAYSIA	no	

